Correlations between Spectral Parameters of Earthquakes and Damage Intensity in Different RC Frames

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Abstract

In recent decades many researchers have studied on the damage assessment of structures after a seismic event. To assess the damage of structures under an earthquake, it is so important to study the correlations between earthquake parameters and damages of the structures. A lot of seismic parameters have been defined by researchers to characterize an earthquake. Spectral parameters of an earthquake convey a variety of information about ground motion, so they can properly characterize an earthquake. Also a lot of damage indices were proposed by researchers to quantify the damage of the structures or to rank their vulnerability relative to each other. Park-Ang index is one of the best indices to describe the damage of a structure. In this paper, the correlations between spectral parameters of earthquakes and Park-Ang indices are studied. Three RC frames with different height are analyzed under far-fault earthquake records.

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by nonlinear dynamic analyses. The correlations between spectral parameters and Park-Ang indices of the frames are calculated. The results show that in all the frames most of spectral parameters have strong correlations with damage intensity. In order to estimate the damage potential of an earthquake, some spectral parameters which have high correlations with damage intensity can be proper indices. Housner intensity, acceleration spectrum intensity and velocity spectrum intensity are shown to have strong correlations with damage intensity. In this paper, a new spectral parameter which has high correlation with damage intensity is achieved.

**Keywords:** Spectral parameters, Damage intensity, Park-Ang index, Correlation coefficient

**Introduction**

Many researchers have studied on the damage assessment of structures under an earthquake. Alongside these researches, some researches have studied on the correlations between seismic parameters and damages of structures. The aim of the present research is to find the appropriate seismic parameters to predict the damage potential of an earthquake. To characterize a seismic event a lot of parameters have been proposed. No single seismic parameter can be an ideal index of damage alone. The correlation of some seismic parameters with actual damage has shown a complicated multi-parameter subject of research [1]. Massumi and Gholami [2] studied four reinforced concrete frames with short to medium range periods, using a set of 85 earthquake ground motions and analyzed the
damage results, using principal components analysis. Their results indicate that frequency-dependent parameters can better predict damage criteria in comparison to time-dependent parameters. Elenas et al. showed that spectral acceleration and energy parameters have the most correlation with damage [3], [4]. Danciu concluded that peak ground velocity, Arias intensity, and also spectral intensity have the most correlation with damage [5]. Alvanitopoulos et al. studied on correlation between damage indices and ground motion parameters and proposed two seismic parameters: the maximum amplitude (AHHT_max) and the mean amplitude (AHHT_mean). Emphasis of their work has been placed on the use of the Hilbert–Huang transform (HHT) [6]. Nanos did a study on the importance of seismic parameters selection for the vulnerability assessment of mid-rise reinforced concrete structures. He found that energy parameters of earthquakes and Arias intensity have high correlation with damage intensity of earthquakes [7]. Chen and Wei studied on correlation between ground motion parameters and lining damage indices for mountain tunnels. They concluded that Overall lining damage indices were found to be highly correlated to the velocity-related seismic parameters but not so well correlated to spectra-related parameters [8].

The correlation of spectral parameters with damage intensity is studied in this paper. Spectral parameters are extracted from far-fault records which are applied to three RC frames. The damage intensity of the frames is measured by Park-Ang index. The best single spectral
parameters are defined to describe the damage intensity. Finally, a new combined parameter which has the most correlation with damage index is proposed.

### Spectral parameters

Spectral parameters are based on the spectral characteristics of a seismic recording. They incorporate both peak ground motion characteristics and frequency content. A number of spectral parameters are proposed by researchers. In this paper four spectral parameters which are widely used by researchers are studied.

1. **Housner Intensity (HI)**

   The response spectrum intensity was first proposed as an indicator by Housner [9]. It describes the area under pseudo velocity response spectrum between periods of 0.1 and 2.5 seconds, as follows:

   \[ HI = \int_{0.1}^{2.5} PSV(\zeta, T) dT \]  
   
   where \( PSV \) is pseudo-velocity at natural period \( T \). Since many structures have fundamental periods of 0.1 and 2.5 seconds, the response spectrum in this period range can provide information about damage potential of a seismic event for these structures. The HI parameter can be calculated for any structural damping ratio.

2. **Acceleration Spectrum Intensity (ASI)**

   Acceleration Spectrum Intensity is the area under acceleration response spectrum between periods of 0.1 and 0.5 seconds. It was first introduced by Von Thun et al. for concrete dams [10], as concrete
Correlations between Spectral Parameters of Earthquakes and Damage Intensity

Dams generally have fundamental periods less than 0.5 seconds. It is defined as:

\[ ASI = \int_{0.1}^{0.5} S_a(\zeta = 0.05, T) dT \]  

(2)

where \( S_a \) is acceleration for a damping coefficient of 5% and natural period \( T \).

3. **Velocity Spectrum Intensity (VSI)**

Velocity Spectrum Intensity is the response spectrum intensity for a damping coefficient of 5%. It was first proposed by Von Thun *et al.* for earth and rock fill dams [10], which generally have fundamental periods between 0.6 and 2.0 seconds.

\[ VSI = \int_{0.1}^{2.5} S_v(\zeta = 0.05, T) dT \]  

(3)

where \( S_v \) is velocity for a damping coefficient of 5% and natural period \( T \).

4. **Predominant Period (\( T_p \))**

The predominant period is the period at which the maximum spectral acceleration occurs in an acceleration response spectrum calculated for a damping coefficient of 5%. Motions with the same different frequency contents can have the same predominant period. This may lead to errors in estimation of frequency content.

**Damage index (Park-Ang)**

A lot of damage indices were proposed by researchers to quantify the damage of the structures or to rank their vulnerability relative to each other. Park-Ang Index is one of the most widely used damage
indices [11]. Park-Ang Index incorporates deformation and hysteretic energy absorption. It is defined as:

\[ D = \frac{d_m}{d_f} + \beta \frac{\int_{E_i}^{E_f} dE}{F_y d_f} \]  

(4)

Where the integral represents the accumulation of hysteretic energy absorbed. \( d_m \) is the maximum displacement and \( d_f \) is the final displacement. \( \beta \) is a strength degradation parameter. For well reinforced concrete, the value of \( \beta \) is 0.1 [11]. \( F_y \) is the yield strength of the structure.

**Structural modeling and analysis**

Three 2D RC frames were modeled by using a computer program IDARC. To confirm the results of the program a ten story frame was modeled based on shaking table tests. The comparison of the analytical and experimental results shows the verification of the IDARC results. The analysis results were also compared with two other computer programs: (i) SARCF-III and (ii) DRAIN-2D. IDARC showed peak differences ranging between 3% to 10% of experimentally observed values.

The comparison of the analytical and experimental results in terms of (i) peak accelerations is shown in Figure 1; and (ii) peak displacements is shown in Figure 2. The maximum displacements reported in Cecen (1979) are based on one-half the double amplitudes, while the IDARC values are absolute peak. The results are presented in Figure 3.
Figure 1. Computed versus observed peak acceleration response

Figure 2. Computed versus observed peak displacement response
Figure 3. Comparison with other programs

The frames are 3, 6 and 9-story, respectively. As shown in Figure 4 to 6, the frames have 3 storys and 4 bays. The dimensions of each bay and story are 4.0 m and 3.2 m, respectively. The frames are compatible with Iranian Seismic Code (Standard No. 2800) [12] and Iranian national building codes, Part 9: design and construction of reinforced concrete buildings [13].
Correlations between Spectral Parameters of Earthquakes and Damage Intensity…

Figure 4. 3-story RC frame

Table 1. Details of Column sections in 3-story RC frame

<table>
<thead>
<tr>
<th>Type</th>
<th>B×D (mm)</th>
<th>Reinforcement</th>
<th>Critical Stirrups</th>
<th>Other Stirrups</th>
</tr>
</thead>
<tbody>
<tr>
<td>Column_1</td>
<td>300×300</td>
<td>8Φ14</td>
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<td>Φ10@200</td>
</tr>
<tr>
<td>Column_2</td>
<td>250×250</td>
<td>8Φ18</td>
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Table 2. Details of Beam sections in 3-story RC frame

<table>
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<th>Other Stirrups</th>
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<td>3Φ16</td>
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Figure 5. 6-story RC frame
### Table 3. Details of Column sections in 6-story RC frame

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<td>Φ10@200</td>
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<tr>
<td>Column2</td>
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<td>8Φ20</td>
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<td>Φ10@200</td>
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<td>8Φ16</td>
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### Table 4. Details of Beam sections in 6-story RC frame

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<td></td>
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<td>2Φ14</td>
<td>Φ8@100</td>
</tr>
<tr>
<td>Beam2</td>
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<td>3Φ18</td>
<td>Φ8@100</td>
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<td></td>
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<td>2Φ14</td>
<td>Φ8@100</td>
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<td>1Φ16</td>
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Figure 6. 9-story RC frame
Table 5. Details of Column sections in 9-story RC frame

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Far-fault records were selected from different stations of fourteen earthquakes. They were obtained from PEER strong motion database [14]. The magnitudes of the selected earthquakes are between 5.9 and 7.6 in Richter scale. The details of earthquakes are shown in Table 7.

Table 6. Details of Beam sections in 9-story RC frame

<table>
<thead>
<tr>
<th>Type</th>
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<th>Other Stirrups</th>
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<tr>
<td>Beam₂</td>
<td>450×450</td>
<td>3Φ18 3Φ20</td>
<td>Φ8@100</td>
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<td></td>
<td></td>
<td>3Φ18 2Φ14</td>
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<tr>
<td>Beam₃</td>
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<td>Φ8@85</td>
<td>Φ8@175</td>
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<tr>
<td></td>
<td></td>
<td>3Φ16 1Φ14</td>
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<tr>
<td>Beam₄</td>
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<td>3Φ18 3Φ16</td>
<td>Φ8@85</td>
<td>Φ8@175</td>
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<tr>
<td></td>
<td></td>
<td>3Φ16 1Φ16</td>
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Table 7. Data of Earthquakes Records

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<th>No</th>
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<th>Station</th>
<th>Mₛ</th>
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<td>SMART1 C00</td>
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<td>SMART1 I12</td>
<td>5.9</td>
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<tr>
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<td>SMART1 M01</td>
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<td>SMART1 M07</td>
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<td>SMART1 M07</td>
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<td>Brea Dam (Downstream)</td>
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</tr>
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</tr>
<tr>
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<td>Plaster City</td>
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</tr>
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<td>( M_e )</td>
</tr>
<tr>
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<td>------------------------------</td>
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</tr>
<tr>
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<tr>
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<td>Calipatria Fire Station</td>
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</tr>
<tr>
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<td>Salton Sea Wildlife Refuge</td>
<td>6.7</td>
</tr>
<tr>
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<td>Gukasian</td>
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</tr>
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</tr>
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<td>6.9</td>
</tr>
<tr>
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<tr>
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<tr>
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</tr>
<tr>
<td>82</td>
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<td>83</td>
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<td>Sunnyvale - Colton Ave</td>
<td>6.9</td>
</tr>
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<td>Sunnyvale - Colton Ave</td>
<td>6.9</td>
</tr>
<tr>
<td>86</td>
<td>Loma Prieta 1989/10/18 00:05</td>
<td>Agnews State Hospital</td>
<td>6.9</td>
</tr>
<tr>
<td>87</td>
<td>Loma Prieta 1989/10/18 00:05</td>
<td>Agnews State Hospital</td>
<td>6.9</td>
</tr>
<tr>
<td>88</td>
<td>Irpinia, Italy 1980/11/23 19:34</td>
<td>Bagnoli Irpino</td>
<td>6.9</td>
</tr>
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<td>No</td>
<td>Earthquake</td>
<td>Station</td>
<td>$M_w$</td>
</tr>
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<td>------------</td>
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<td>Bagnoli Irpino</td>
<td>6.9</td>
</tr>
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<td>Fortuna - Fortuna Blvd</td>
<td>7.1</td>
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<tr>
<td>93</td>
<td>Landers 1992/06/28 11:58</td>
<td>Coolwater</td>
<td>7.3</td>
</tr>
<tr>
<td>94</td>
<td>Landers 1992/06/28 11:58</td>
<td>Coolwater</td>
<td>7.3</td>
</tr>
<tr>
<td>95</td>
<td>Landers 1992/06/28 11:58</td>
<td>Desert Hot Springs</td>
<td>7.3</td>
</tr>
<tr>
<td>96</td>
<td>Landers 1992/06/28 11:58</td>
<td>Yermo Fire Station</td>
<td>7.3</td>
</tr>
<tr>
<td>97</td>
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<td>North Palm Springs</td>
<td>7.3</td>
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<tr>
<td>98</td>
<td>Landers 1992/06/28 11:58</td>
<td>North Palm Springs</td>
<td>7.3</td>
</tr>
<tr>
<td>99</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY034</td>
<td>7.6</td>
</tr>
<tr>
<td>100</td>
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<td>7.6</td>
</tr>
<tr>
<td>101</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY036</td>
<td>7.6</td>
</tr>
<tr>
<td>102</td>
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<td>CHY036</td>
<td>7.6</td>
</tr>
<tr>
<td>103</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY092</td>
<td>7.6</td>
</tr>
<tr>
<td>104</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY092</td>
<td>7.6</td>
</tr>
<tr>
<td>105</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY104</td>
<td>7.6</td>
</tr>
<tr>
<td>106</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU107</td>
<td>7.6</td>
</tr>
<tr>
<td>107</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU107</td>
<td>7.6</td>
</tr>
<tr>
<td>108</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU040</td>
<td>7.6</td>
</tr>
<tr>
<td>109</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU040</td>
<td>7.6</td>
</tr>
<tr>
<td>110</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU042</td>
<td>7.6</td>
</tr>
<tr>
<td>111</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU042</td>
<td>7.6</td>
</tr>
<tr>
<td>112</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU111</td>
<td>7.6</td>
</tr>
<tr>
<td>113</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU111</td>
<td>7.6</td>
</tr>
<tr>
<td>114</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU141</td>
<td>7.6</td>
</tr>
<tr>
<td>115</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU141</td>
<td>7.6</td>
</tr>
</tbody>
</table>
The selected records were applied to the frames and inelastic dynamic analyses were performed. Park-Ang damage indices were obtained as the damage intensity. Also spectral parameters of the records were extracted.

### Analytical results and discussion

After the nonlinear dynamic analyses of the frames, the correlations between Park-Ang damage indices and spectral parameters were studied by statistics methods. Two correlation coefficients were applied: Spearman rank correlation [15] and Pearson correlation coefficient [15]. Pearson correlation coefficient is used to identify the strength of the linear inter-relationship between two sets of data. Spearman’s coefficient is not a measure of the linear inter-relationship between two sets of data. If the distribution of data makes Pearson correlation coefficient not applicable, then Spearman’s correlation coefficient should be used.

<table>
<thead>
<tr>
<th>No</th>
<th>Earthquake</th>
<th>Station</th>
<th>$M_e$</th>
</tr>
</thead>
<tbody>
<tr>
<td>116</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
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<tr>
<td>117</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>TCU038</td>
<td>7.6</td>
</tr>
<tr>
<td>118</td>
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<td>Station: TCU047</td>
<td>7.6</td>
</tr>
<tr>
<td>119</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY002</td>
<td>7.6</td>
</tr>
<tr>
<td>120</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY002</td>
<td>7.6</td>
</tr>
<tr>
<td>121</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY010</td>
<td>7.6</td>
</tr>
<tr>
<td>122</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY010</td>
<td>7.6</td>
</tr>
<tr>
<td>123</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY046</td>
<td>7.6</td>
</tr>
<tr>
<td>124</td>
<td>Chi-Chi, Taiwan 1999/09/20</td>
<td>CHY046</td>
<td>7.6</td>
</tr>
</tbody>
</table>
correlation coefficient disagreeable, Spearman’s coefficient that is a measure of monotone association, will be used [16].

Spearman rank correlation between two sets of variables X and Y, is defined as:

$$\rho_{Spearman} = 1 - \frac{6 \sum d_i^2}{n^3 - n}$$  \hspace{1cm} (5)

where $n$ represents the number of pairs $(X_i, Y_i)$ and $d_i$ represents the difference between the ranks of $X_i$ and $Y_i$. It means that if the ranks of $X_i$ and $Y_i$ are respectively $R(X_i)$ and $R(Y_i)$, then $d_i = R(X_i) - R(Y_i)$ [17].

Pearson correlation coefficient between two sets of variables X and Y, is defined as:

$$\rho_{Pearson} = \frac{\sum_{i=1}^{n} (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^{n} (X_i - \bar{X})^2 \sum_{i=1}^{n} (Y_i - \bar{Y})^2}}$$  \hspace{1cm} (6)

where $\bar{X}$ and $\bar{Y}$ represent the mean values of $X_i$ and $Y_i$ and $n$ represents the number of pairs $(X_i, Y_i)$.

The results of Spearman correlations between spectral parameters and Park-Ang damage indices in three frames are presented in Table 8 to 10.

**Table 8. Spearman coefficients between spectral parameters and Park-Ang in 3-story frame**

<table>
<thead>
<tr>
<th>Spectral parameter</th>
<th>Spearman coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>HI (cm)</td>
<td>0.794</td>
</tr>
<tr>
<td>ASI (g.sec)</td>
<td>0.693</td>
</tr>
<tr>
<td>VSI (cm)</td>
<td>0.840</td>
</tr>
<tr>
<td>$T_p$ (sec)</td>
<td>0.154</td>
</tr>
</tbody>
</table>
Table 9. Spearman coefficients between spectral parameters and Park-Ang in 6-story frame

<table>
<thead>
<tr>
<th>Spectral parameter</th>
<th>Spearman coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>HI (cm)</td>
<td>0.791</td>
</tr>
<tr>
<td>ASI (g.sec)</td>
<td>0.665</td>
</tr>
<tr>
<td>VSI (cm)</td>
<td>0.841</td>
</tr>
<tr>
<td>Tp (sec)</td>
<td>0.156</td>
</tr>
</tbody>
</table>

Table 10. Spearman coefficients between spectral parameters and Park-Ang in 9-story frame

<table>
<thead>
<tr>
<th>Spectral parameter</th>
<th>Spearman coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>HI (cm)</td>
<td>0.757</td>
</tr>
<tr>
<td>ASI (g.sec)</td>
<td>0.688</td>
</tr>
<tr>
<td>VSI (cm)</td>
<td>0.804</td>
</tr>
<tr>
<td>Tp (sec)</td>
<td>0.048</td>
</tr>
</tbody>
</table>

In all the three frames, the results of Spearman coefficients show that Housner intensity, acceleration spectrum intensity and velocity spectrum intensity provide strong correlations with Park-Ang index, but predominant period provides weak correlation with Park-Ang index as far as it can be concluded that predominant period has not any correlation with damage index. Among the spectral parameters, Velocity Spectrum Intensity has the maximum correlation with Park-Ang index.

The results of Pearson correlations between spectral parameters of earthquakes and Park-Ang damage indices in three frames are represented in Tables 11 to 13.
In all the three frames, the results of Pearson coefficients are highly similar to the results of Spearman coefficients. The comparison of correlation coefficients in three RC frames is shown in Figures 7 and 8. The figures show that there isn't significant difference in Spearman or Pearson coefficients between spectral parameters and Park-Ang in three RC frames. Therefore it can be concluded that the results aren't
related to the heights of the frames and they can be developed to all the RC frames.

![Spearman correlation in frames](image1)

**Figure 7.** Spearman coefficients between spectral parameters and Park-Ang index in frames

![Pearson correlation in frames](image2)

**Figure 8.** Pearson coefficients between spectral parameters and Park-Ang index in frames
Correlations between Spectral Parameters of Earthquakes and Damage Intensity… 153

By linear combination of seismic parameters which have strong correlation with damage intensity (HI, ASI and VSI), a new parameter can be achieved. As both of the HI and VSI parameters describe the response spectrum intensity, one of them is chosen in this paper. The regression standardized coefficients between new spectral combined parameter and Park-Ang index is shown in Table 14. It can be seen that regression coefficients are close to each other in all three frames.

Table 14. Regression Standardized Coefficients

<table>
<thead>
<tr>
<th>Frame</th>
<th>Parameter</th>
<th>Standardized Coefficients</th>
</tr>
</thead>
<tbody>
<tr>
<td>3-story</td>
<td>HI</td>
<td>0.629</td>
</tr>
<tr>
<td></td>
<td>ASI</td>
<td>0.405</td>
</tr>
<tr>
<td>6-story</td>
<td>HI</td>
<td>0.607</td>
</tr>
<tr>
<td></td>
<td>ASI</td>
<td>0.404</td>
</tr>
<tr>
<td>9-story</td>
<td>HI</td>
<td>0.620</td>
</tr>
<tr>
<td></td>
<td>ASI</td>
<td>0.354</td>
</tr>
</tbody>
</table>

According to Table 14, the new parameter can be written as follows:

\[ ID(\text{Park-Ang}) = 0.6HI + 0.4ASI \] (7)

The new parameter has more correlation with Park-Ang index. The results of correlations between the combined parameter and variation of fundamental periods are shown in Table 15.

Table 15. Pearson correlations between the combined parameter and Park-Ang index

<table>
<thead>
<tr>
<th>Model</th>
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<tbody>
<tr>
<td>3 Story</td>
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<tr>
<td>6 Story</td>
<td>0.884</td>
</tr>
<tr>
<td>9 Story</td>
<td>0.856</td>
</tr>
</tbody>
</table>
Conclusion

Three 2D RC frames with different height were modeled and subjected to the 124 far-fault records of different earthquakes. Four main spectral parameters were considered: Housner Intensity, acceleration spectrum intensity, velocity spectrum intensity and predominant period. These parameters were extracted from the far-fault records. The selected records were applied to the frames. Inelastic dynamic analyses were performed and Park-Ang damage indices were obtained. Correlation study between spectral parameters of earthquakes and damage indices was done by using Spearman rank correlation and Pearson correlation coefficient. In all the frames, both of the coefficients show that Housner intensity, acceleration spectrum intensity and velocity spectrum intensity provide strong correlations with Park-Ang index, because the mean of Spearman coefficients for HI, ASI and VSI are 0.781, 0.682 and 0.828, respectively. Also the mean of Pearson coefficients for HI, ASI and VSI are 0.815, 0.712 and 0.853, correspondingly. But predominant period provides too weak correlation with Park-Ang index, because the mean of Spearman and Pearson coefficients for Tp are 0.119 and 0.058, respectively. Velocity spectrum intensity has the maximum correlation with Park-Ang index and can well predict the damage potential of an earthquake. As the results in three RC frames are very similar to each other, they can be developed to all the RC frames. Also by linear combining HI and ASI parameter, a new parameter which is described as:
[0.6HI+0.4ASI] is introduced. This new spectral parameter has 3% to 4% more correlation with damage intensity than the best single spectral parameter which is used in this paper.

References


